Next Generation Per- and Poly-Fluoroalkyl Substances: Status and Trends, Aquatic Toxicity, and Risk Assessment

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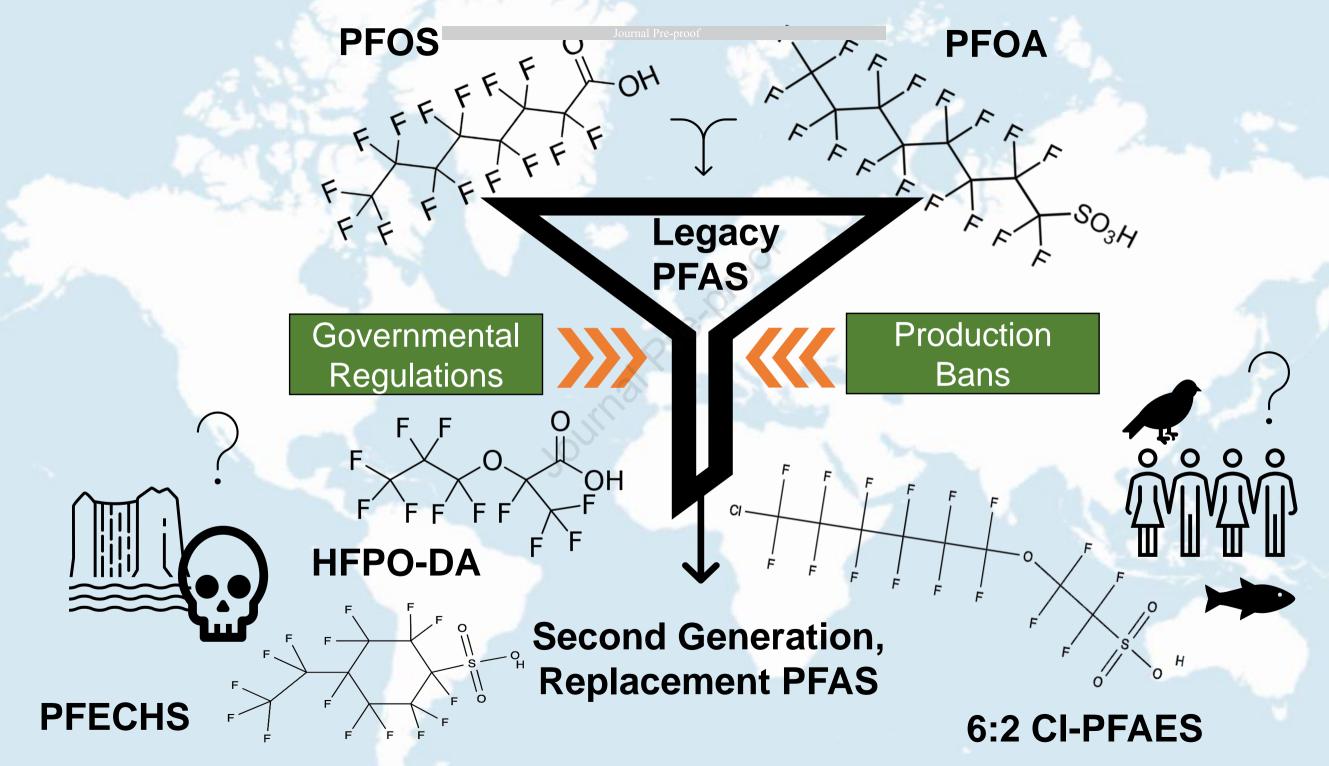
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- 1 Review
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- 3 Next Generation Per- and Poly-Fluoroalkyl Substances: Status and Trends, Aquatic
- 4 Toxicity, and Risk Assessment
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- Highlights 26
- 27 Regulations and production bans on legacy PFAS continue to expand. •
- 28 Emerging replacement PFAS are rising health, environmental, and regulatory • 29 concerns.
- Replacement substances can undergo or show long-range transport potential. 30 •
- Novel PFAS bind to nuclear receptors, disrupt metabolism and stress pathways. 31 •
- 32 Gaps exist in the (eco)toxic potency and interactions of replacement PFAS. •
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# 34 Abstract

Widespread application of poly- and per-fluoroalkyl substances (PFAS) has resulted in 35 36 some substances being ubiguitous in environmental matrices. That and their resistance 37 to degradation have allowed them to accumulate in wildlife and humans with potential for toxic effects. While specific substances of concern have been phased-out or banned. 38 39 other PFAS that are emerging as alternative substances are still produced and are 40 being released into the environment. This review focuses on describing three emerging, 41 replacement PFAS: perfluoroethylcyclohexane sulphonate (PFECHS), 6:2 chlorinated 42 polyfluoroalkyl ether sulfonate (6:2 CI-PFAES), and hexafluoropropylene oxide dimer (HFPO-DA). By summarizing their physicochemical properties, environmental fate and 43 44 transport, and toxic potencies in comparison to other PFAS compounds, this review 45 offers insight into the viabilities of these chemicals as replacement substances. Using the chemical scoring and ranking assessment model (SCRAM), the relative hazards, 46 47 uncertainties, and data gaps for each chemical were quantified and related to PFOS 48 and PFOA based on their chemical and uncertainty scores. The substances were ranked PFOS > 6:2 CI-PFAES > PFOA > HFPO-DA > PFECHS according to their 49 50 potential toxicity, and PFECHS > HFPO-DA > 6:2 CI-PFAES > PFOS > PFOA 51 according to their need for future research. Since future uses of PFAS remain uncertain in the face of governmental regulations and production bans, replacement PFAS will 52 53 continue to emerge on the world market and in the environment, raising concerns about 54 their general lack of information on mechanisms and toxic potencies.

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Keywords: PFAS, Replacement PFAS, Emerging Contaminants, Aquatic Toxicity,
 Chemical Scoring

60 1. Introduction

Per and poly-fluoroalkyl substances (PFAS) are a group of industrial chemicals that 61 62 contain a hydrophobic alkyl chain and a hydrophilic functional group such as 63 carboxylate, sulfonate, or phosphonate [1]. Alkyl chains, which can be straight-chain or 64 branched, consist of one or more carbon atoms in which all or most of the available valence electrons are bound to fluorine (F) atoms [1]. Therefore, PFAS are defined as 65 chemicals with at least one perfluorocarbon moiety ( $C_n F_{2n}$ ), although structurally, they 66 can differ by the addition of more per-fluorinated (fully fluorinated) or poly-fluorinated 67 68 chains (partially fluorinated) [1,2].

69 The presence of multiple strong carbon-carbon and carbon-fluorine bonds gives 70 PFAS unique properties and versatility, but also means PFAS are stable and resistant to most forms of degradation, including hydrolysis, photolysis, biodegradation, and 71 72 metabolism [3,4,5]. This has made PFAS important synthetic chemicals that have been 73 used in a variety of industrial processes and products since the 1950s [3,4,5]. The 74 hydrophobic and hydrophilic properties of PFAS make them adaptable surface-active 75 substances that repel grease and dirt, adding stain-resistant and hydrophobic properties to fabrics [6]. PFAS have also been used in fire-fighting foams, cleaning supplies, 76 77 cosmetics, and to reduce the buildup of static electricity in manufacturing electronics, especially microchips [7]. Widespread industrial and commercial applications of PFAS 78 79 have resulted in some PFAS being ubiquitous in the environment [3,8]. PFAS tend to 80 bind to proteins, resulting in accumulation in plants, wildlife, and humans [8,1,9,10].

81 Since the early 2000s, bioaccumulation of PFAS has raised concerns about their 82 potential effects on humans and wildlife. Potential toxic effects of PFAS were 83 discovered in the early 2000s by Giesy and Kannan after they described for the first 84 time the global extent of PFAS accumulation in marine organisms, terrestrial mammals, 85 and seabirds [3,7,8]. Since then, most research on the effects of PFAS in the environment has focused on two chemical classes of PFAS: perfluoroalkane sulfonic 86 87 acid (PFSA) and perfluorocarboxylic acids (PFCA), as well as their anthropogenic 88 precursors [1,7,11]. However, out of these classes and among the more than 4700 PFAS, only perfluorooctanoic acid (PFOA), perfluorooctane sulfonic acid (PFOS), 89

90 perfluorohexanesulfonic acid (PFHxS), and perfluorononanoic acid (PFNA) have been
91 studied extensively [1,7,11].

92 Of particular concern are the effects PFAS might cause in aquatic environments 93 since lakes, seas, and oceans are often considered environmental sinks of PFAS 94 chemicals [12,13,14,15]. After use, PFAS are released into aquatic environments 95 through surface runoff, wastewater effluent, and leaching from products and 96 degradation of precursors [1,15,16]. Environmental monitoring of PFAS in aquatic 97 environments, plants and animals, as well as studies focusing on their effects of 98 exposure, have indicated potential and known toxic effects and potencies of PFAS 99 include reproductive toxicity, growth, and developmental defects, neuro-behavioural 100 defects, and other general disorders arising from the disruption of the immune system 101 and changes in properties of membranes [11].

These known and potential concerns surrounding adverse effects on humans and 102 103 wildlife have resulted in and continue to result in certain manufacturers voluntarily 104 phasing out production of the legacy substances PFOA and PFOS [17,18,19,20]. While 105 PFOA, its salts, and all related compounds were not listed under Annex A of the 106 Stockholm Convention for Virtual Elimination until 2019, its toxicological effects and 107 spread in the environment were known by the public as early as 2004 [3,8]. Conversely, 108 PFOS was listed under Annex B for restriction in 2009 [17]. There has also been a 109 general push in the consumer and stakeholder sectors to virtually eliminate all PFAS 110 'forever chemicals' [18]. Countries globally have begun to implement phase-out plans 111 for legacy PFAS and some second-generation compounds. PFOS and PFOA are 112 regulated along with PFHxS as substances of concern under the European Union (EU) 113 Registration, Evaluation, Authorization, and Restriction of Chemicals (REACH) program 114 [19]. Member states of the EU have often published environmental guidelines for 115 exposure to PFAS that are stricter, compared to those recommended by the EU 116 Environmental Quality Standards, as well as outright banned their use in food 117 packaging paper and cardboard [19]. In Canada, PFOA, PFOS, other long-chain 118 perfluorocarboxylic acids and their salts, and precursors are prohibited, and their 119 addition to the Government of Canada Toxic Substances List has demonstrated the 120 country's efforts to virtually eliminate their production [20]. While the United States of

America (USA) has not yet implemented bans on specific compounds, the United States Environmental Protection Agency has released a PFAS response roadmap and plans leading to the registration of PFOA and PFOS on the Harmful Substances List, and safety guidelines for PFAS exposure are similar to those employed in Canada and the EU [18]. The status of PFAS in the USA largely demonstrates the status of PFAS regulations globally, where outright bans are being discussed or implemented and environmental safety advisories are reported or observed.

128 However, thousands of PFAS compounds still exist, and compounds with known 129 modes of toxic action are still being manufactured around the globe and available 130 commercially [2]. Due to the complexity, versatility, and number of PFAS chemicals, 131 PFAS will continue to be produced for use in industries that require their unique 132 characteristics and might appear as unintended by-products of industrial processes 133 [21,22,23]. Recently, attention has shifted to the manufacture of alternatives to replace 134 PFAS, such as PFOS and PFOA, that have been banned or regulated. Although 135 marketed as safer from environmental and human health perspectives, little information 136 exists surrounding the toxicity and environmental fate of these compounds that is 137 available to the general public, and information that is available has yet to be collated in 138 a way that allows robust comparisons of these replacements to legacy substances.

139 To date, multiple reviews on PFAS have been published covering a range of topics 140 and focuses, including several reviews on toxicities of legacy PFAS to mammals and 141 humans [24,25,26], adverse effects of PFAS on aquatic organisms [11,27], and next-142 steps in management of PFAS, classifications, and identification [22,28,29]. However, 143 an overview of current knowledge surrounding key next-generation, alternate PFAS in 144 the aquatic environments and their comparative risk assessments were lacking. This 145 review summarizes information on the aquatic toxicity and human risk factors of three 146 emerging Replacement PFAS and highlights gaps in information needed for more 147 comprehensive and accurate risk assessments.

Three novel replacement PFAS were chosen as a focus of this review: hexafluoropropylene oxide dimer acid (HFPO-DA, sometimes known as GenX), 6:2 chlorinated polyfluorinated ether sulphonate (6:2 CI-PFAES sometimes known as F-53B) and perfluoroethylcyclohexane sulphonate (PFECHS). These three substances

were chosen as they represent a broad range of PFAS sub-classes: sulphonates, carbonates, short-chain, and cyclic PFAS [11]. Also, while multiple replacements have been proposed or outlined in research, PFECHS, HFPO-DA, and 6:2 CI-PFAES have been identified as potential global contaminants with enough toxicity information to relate them to legacy substances [30,31,32]. Currently known and predicted physicochemical characteristics of these compounds are listed in Table 1.

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- 158 Table 1: Known and predicted physiochemical characteristics of known and emerging
- 159 replacement Perfluoroalkyl Substances compared to legacy substances
- 160 Perfluorooctane Sulphonic Acid (PFOS) and Perfluorooctanoic Acid (PFOA)

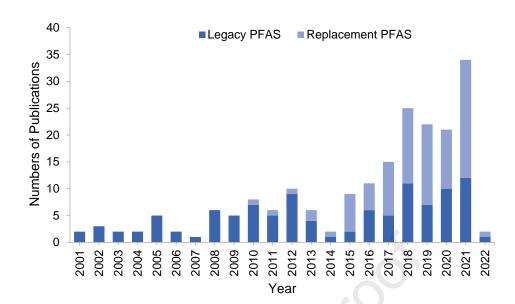
Compound	HFPO-DA	6:2 CI-PFAES	PFECHS	PFOS	PFOA
Cas #	13252-13-6	756426-58-1	646-83-3	1763-23-1	335-67-1
Structure	HO F F F F F F	E SALANA ANTA	F F F F F F F F F F F F F F F F F F F		
Molecular mass (g/mol)	330.04	300.10	461.13	500.13	414.07
Boiling Point (°C)	129	211	221	249	189
Melting Point (°C)	<40	N/A	74.1	71	55
Partitioning Coefficient (LogP)	2.84	1.82*–3.81	3.19–5.92*	4.9	4.81*–6.3
Vapour Pressure (mmHg)	2.7	0.0268	9.38e-5 to 0.0159 *	0.0149	0.53
Water Solubility (mol/L)	>2.61	1.15e-3	9.68e-6 to 1.35e-3*	1.07e-3	7.97e-3
References	(PubChem 114481); [33]	(PubChem 22568738)	(PubChem 101650)	(PubChem 74483)	(PubChem 9554)

161 \*Predicted

162 2. Methods

163 Searches of literatures were conducted on Web of Science, Google Scholar, 164 ECOTOX, and PubMed databases using keywords consisting of each chemical name of 165 focus perfluoroethylcyclohexane sulphonate [PFECHS], hexafluoropropylene oxide

166 dimer acid [HFPO-DA] and 6:2 chlorintated polyfluorinated ether sulphonate [6:2Cl-167 PFAES], the names of highly cited PFAS chemicals (perfluorooctanoic acid [PFOA], 168 perfluorooctane sulfonic acid [PFOS], perfluorononanoic acid [PFNA], perfluorodecanoic acid [PFDA], perfluorododecanoic acid [PFDoA, PFDoDA]), perfluorodecane sulfonic 169 170 acid [PFDS], per-fluorodecyl phosphonic acid [PFDPA], perfluorohexane sulfonic acid 171 [PFHS], perfluorobutane sulfonic acid [PFBS], perfluoropen-tanoic acid [PFPA], 172 perfluorotetradecanoic acid [PFTDA], perfluo-ropentanoic acid [PFBA], 173 perfluoroundecanoic acid [PFUnA or PFUnDA], perfluorooctane sul-famide [PFOSA], 174 perfluorotridecanoic acid [PFTrDA or PFTriA], perfluoroheptanoic acid [PFHpA], 175 perfluoroheptane sulfonoic acid [PFHpS]. perfluoro-hexanoic acid [PFHxA], 176 perfluorohexane sulfonic acid [PFHxS], or perfluorooctylphosphonic acid [PFOPA]), 177 toxicity description, regulation status of the chemical, and concentrations in the 178 environment. Identified papers were checked for relevance to aquatic environments, 179 downstream human effects, and environmental concentrations and transport. A total of 180 188 publications related to legacy and replacement PFAS were selected for inclusion 181 (Figure 1). Previously published reviews have already synthesized information on 182 adverse effects on fish and aquatic organisms [11]. Therefore, only environmental 183 concentrations, physicochemical properties, human exposure, and adverse outcomes 184 related to exposure of emerging Replacement PFAS of concern, PFECHS, 6:2 CI-185 PFAES, and HFPO-DA in the aquatic environment are summarized comparatively. 186



187

188 Figure 1: Distribution of the numbers of references cited in this paper organized by year.

This figure also highlights the trend of perfluoroalkyl substance research from mainly legacy perfluoroalkyl substances as indicated by the dark blue bars and numbers of publications, to novel perfluoroalkyl substance replacements as indicated by the light

192 blue bars and numbers of publications over time.

194 3. Long-distance Transport Potential and Environmental Concentrations of Emerging195 Replacement PFAS

196 Primary emission sources of legacy PFAS into the water and air have been 197 identified as industrial facilities producing fluoro-chemicals and wastewater 198 management and treatment facilities [1]. However, even contamination of PFAS in 199 terrestrial environments would be eventually distributed to aquatic environments by 200 abiotic and biotic transfer mechanisms, including advection, dissolution, and biotic uptake [1, 24]. Considered a sink for contamination, PFAS partition to the surface water 201 202 and sediment in aquatic environments [12,13,14,15]. While legacy PFAS tend to adsorb 203 to sediments, different substances can be highly mobile, and the log carbon/water 204 partitioning coefficient (log Koc) of PFAS can range between 0.5 and 5, depending on 205 the substance [34]. In general, shorter chain PFAS remain more soluble in water, while 206 longer chain PFAS adsorb and partition more to sediments. However, direct 207 measurements of environmental and biological partitioning coefficients of PFAS have 208 proven difficult given their amphiphilic nature and observed behavioural differences 209 compared to other non-ionic polar chemicals [34]. Apart from direct release through 210 industry and waste treatment, PFAS are also known to enter the environment through 211 consumer goods, waste collection sites, and other industrial and consumer processes 212 [35,36,37].

213 Multiple studies have indicated that HFPO-DA, 6:2 CI-PFAES, and PFECHS follow 214 similar pathways of exposure in environments as legacy PFAS [38,39]. The ammonium 215 salt HFPO-DA is a short-chain, organo-fluoride chemical developed to replace PFOA 216 [40,41,42,43]. While HFPO-DA is often referred to as GenX. For the purpose of this 217 review, GenX will refer to the group of chemicals used in the production of HFPO-DA, 218 such as 2,3,3,3-tetrafluoro-2-(heptafluoropropoxy) propanoic acid and ammonium 219 2,3,3,3-tetrafluoro-2-(heptafluoropropoxy) propanoate, and will only be used when studies investigating general GenX chemicals are discussed [44,45]. A suspect 220 221 screening and inter-year comparison of surface waters and sediments within and 222 surrounding the Xiaoguang River, which received wastewaters from a fluoro-chemical 223 production plant in China, identified HFPO-DA, as well as numerous chemicals that 224 were also potentially under the GenX classification [46]. While concentrations of GenX 225 chemicals were determined to be 1 to 2 orders of magnitude less than those of PFOA, 226 the GenX chemicals followed the same pathways of transport, including horizontal 227 transport in the water, showed no evidence of degradation, and illustrated a tendency to 228 adsorb to sediment [46,47]. It was concluded that GenX chemicals identified in this 229 study posed a similar potential for exposure to humans [46,47]. These findings have 230 also been supported by similar studies, which have quantified downstream 231 concentrations of HFPO-DA and PFOA in waters near fluoro-chemical processing 232 plants throughout Asia and in Europe [47,48,49].

233 Known by the trade name F-53B, 6:2 CL-PLAES is an ether-sulphonate used 234 widely as an alternative to PFOS as a mist-suppressant in the electroplating industry 235 [50,51]. The motivation for its creation is largely attributed to increasing regulations of 236 PFOS, and in China specifically, the lack of regulations on 6:2 CI-PFAES led to an estimated annual usage of 30-40 t of alternative mist-suppressants in 2009, eventually 237 238 leading to the detection of 6:2 CI-PFAES in the aquatic environment [51,52]. The annual 239 release of 6:2 CI-PFAES is similar to that of PFOS and PFOA, which had an 240 approximate annual release of 62 and 36 t in 2017, respectively [53]. Research on the 241 environmental distribution and transport of 6:2 CI-PFAES has also indicated that it 242 follows similar pathways of transportation, emission, and degradation as PFOS, the 243 legacy substance in which 6:2 CI-PFAES was developed to replace [30]. 6:2 CI-PFAES 244 has been found globally in multiple environmental matrices, including the atmosphere, 245 fresh and salt surface waters, cultivated and uncultivated soil, sediment, and drinking 246 water at similar concentrations to PFOS. For example, 6:2 CI-PFAES is found in 247 concentrations up to 30 ng/L in local Chinese freshwater and PFOS typically around 15 248 ng/L [30,54].

However, unlike PFOS, only a small percentage of annual emissions of 6:2 Cl-PFAES (0.2%–0.5%) reaches the Arctic by oceanic advection [30]. While it is believed that the bulk of 6:2 CI-PFAES remains in northern temperate regions not far from its sources in the Eastern hemisphere, a limited number of samples from Europe and North America have contained quantifiable concentrations of 6:2 CI-PFAES, from 0.01 ng/L to 0.08 ng/L, and up to 52 ng/L near local manufacturing plants [49]. Average concentrations in Chinese freshwater samples ranged from 2 ng/L up to 29 ng/L, but

local concentrations of 6:2 CI-PFAES in Chinese freshwater near chromium-plating plants were predicted to reach 2.3 mg/L by 2020, increasing from 0.7 mg/L in 2015 [30]; however, this prediction was not confirmed by the time this review was written. While annual global emissions of 6:2 CI-PFAES have remained stable (around 12 t), it is predicted to increase as PFOS continues to be phased out and more regulations are introduced [30].

262 PFECHS is an 8-carbon cyclic PFAS marketed for use as an erosion inhibitor in 263 aircraft hydraulic fluids [55,56]. While production of PFECHS was voluntarily phased out 264 in the United States via 3M's phase-out of PFOS-based materials beginning in 2002, 265 PFECHS is still permitted to be used in hydraulic fluids by Canada and the United States [55,56]. Besides, PFECHS is not considered by the Stockholm Convention of 266 267 Persistent Organic Pollutants to be a PFOS-related substance, nor is it proposed as a chemical for listing under the convention [17]. Therefore, PFECHS has continued to be 268 269 used in various commercial products from manufacturers other than 3M [57]. While the 270 total release of PFECHS into the environment remains largely unreported. Italy reported 271 low release in 2005 at less than 1 t [58]. However, PFECHS has been found in surface 272 waters from the Great Lakes and other freshwater bodies (0.16–5.7 ng/L), predator fish 273 from the Great Lakes (up to 3.7 ng/g wet body weight), the Baltic Sea, samples of 274 drinking water, and within multiple media from the high Arctic [55,59,60,61,62,63]. 275 Detectable concentrations of PFECHS have also been measured in herring gull eggs 276 from the Great Lakes and in liver samples from marine mammals such as ringed seals [64]. Within pooled serum samples from Swedish women, PFECHS has been detected, 277 278 and concentrations followed throughout generations, suggesting an inter-species 279 bioaccumulation potential of PFECHS exists and could become a potential human 280 health concern [64,65,66].

The detection and spread of PFECHS are similar to that of PFOS, which has been detected in marine, freshwater, and terrestrial environments, as well as avian, aquatic, and terrestrial organisms [3,8]. While wastewater treatment plants have been associated with the detection of PFECHS in both nearby fish [67] and effluent [68], the greatest and most reliable concentrations have been detected near airports [69,70]. For example, PFECHS detected in runoff water from the Beijing International Airport was

measured up to 195 ng/L, but the total amount of PFECHS, its isomers and related impurities can reach up to 324 ng/L [70] (Table 2). Depending on the source measured, concentrations of PFECHS can be higher than those of PFOS measured from the same sample [64]. PFECHS remains an isomer of concern, given it shares many physicochemical properties with PFOS. The compounds have similar molecular masses, boiling points, melting points, and partitioning coefficients (Table 1) [55,56,62].

293 To fully answer whether these replacement compounds can be considered global 294 pollutants, potential sources of contamination other than direct and local contamination 295 were taken into consideration. While HFPO-DA was determined to follow similar 296 transport as PFOA in water [46,49,54], this transport was dependent on direct release 297 from processing plants into the environment. However, machine models and published 298 literature have associated HFPO-DA with a high risk of atmospheric deposition [31,32]. 299 While no published studies to date have detected HFPO-DA in remote environments 300 such as Polar regions, it is considered to have the potential to spread to such 301 environments by long-range transport processes [31,32]. HFPO-DA has also been 302 detected in the environment in North America, Europe, and China [46,48,71]. As their 303 industrial application determines whether these compounds become global 304 contaminants through use and release, the probability of HFPO-DA being confirmed as 305 a global contaminant will continue to increase as its usage increases.

306 Furthermore, while only a small percentage of 6:2 CI-PFAES is carried by oceanic 307 advection to remote locations [30], it has been detected up to 0.27 ng/g in the livers of 308 polar bears, killer whales, and ringed seals from Arctic environments [49,50], similar to 309 that of PFOS. Environmental concentrations of 6:2 CI-PFAES have also been shown to 310 be correlated to those of PFOS [49]. Even if 6:2 CI-PFAES appears to only have a 311 limited ability to travel to the Arctic by oceanic advection, other transport processes 312 such as atmospheric deposition should be further investigated [30]. Detection of 6:2 CI-313 PFAES in marine mammals from remote locations is a concerning sign of its potential 314 for long-range transport.

315 Detection of PFECHS in freshwater lakes has been attributed to direct 316 contamination from local airports, where PFECHS-containing fluids are heavily used 317 [59]. However, PFECHS has also been detected in remote marine/arctic environments

318 without an obvious source of contamination nearby [61,62]. Evidence for long-range 319 transport of PFECHS was outlined by *MacInnis et al.*, who proposed oceanic transport 320 processes as the source of PFECHS on the Devon Ice Cap [61]. Detection of PFECHS 321 in the Baltic Sea [62] also supported this hypothesis. However, it was also stated that 322 long-range transport of PFECHS could be due to leakage from commercial airplanes 323 into the atmosphere, but this hypothesis was admittedly challenging to corroborate 324 given the complexity of aviation sources [62]. Mechanism aside, detection of PFECHS 325 in such remote locations provides support for its referral as a potential global 326 contaminant. Further, PFECHS is considered one of the more widespread PFAS 327 detected in the environment [72].

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328 Table 2: Concentrations of replacement PFAS in the Environment.

Compound	Matrix	Concentration	Reference
HFPO-DA	Freshwater	0.1–0.8 ng/L	[49,75]
	Drinking Water	1.4–8.0 ng/L**	[76]
	Wastewater	Up to 40,000 ng/L***	[33]
	Sediment	>100 pg/g	[71]
	Plant material	Plant material 1–27 ng/g ww**	
6:2 CI-PFAES	Freshwater	<0.01–50 ng/L	[77]
	Drinking Water	<0.01–50 ng/L	[77]
	Marine	0.21–7.9 ng/L	[78,79]
	Wastewater	7600 ng/L	[77,78]
		65000–120000 ng/L	
		(influent)	
		43000–78000 ng/L (effluent)	
	Sediment	200 pg/g–0.013 ng/g	[71,80]
PFECHS	Freshwater	0.16–5.7 ng/L	[39,59,60,69]
		20 ng/L *	
	Drinking Water	4 ng/L	[73]
	Marine	0.043–0.14 ng/L	[62]
	Wastewater	10–195 ng/L	[68,74]
	Sediment	0.0004 ng/g	[59,61,71]
		>10 pg/g	
	Ice cap	<1 ng/L	[59,61]
		0.031 ng/mL	

- 329 \*Within 1.61 km of an airport
- 330 \*\*Within 25 km of a fluoropolymer production plant
- 331 \*\*\* Direct Industrial Effluent

333 4. Human Exposome of Emerging Replacement PFAS

334 Detection in human tissues is an important aspect of toxicology testing when 335 completing a risk assessment as it confirms whether humans are a receptor of 336 environmental exposure. Since PFAS as a class are considered to have the potential to 337 bioaccumulate in biota included in human food chains [81] and specific substances such 338 as PFOS and PFOA have been detected in human serum samples at concentrations as 339 high as 44.7 and 10 µg/L, respectively [82], it is important to review whether alternative 340 and replacement PFAS substances also pose this risk. This section will review current 341 known information pertaining to the detection of replacement PFAS in human samples.

342 While HFPO-DA has been detected in environmental matrices and locations where 343 humans were exposed [76], it has not yet been detected in tissues of humans [83,84]. In 344 a study that aimed to identify novel fluoroethers and legacy PFAS in serum samples 345 from residents residing near or who had lived near a fluoro-chemical processing plant, GenX fluoroethers were not detected with a limit of detection (LOD) of 2 µg/L [84]. 346 347 Failure to detect HFPO-DA as well as other GenX fluoroethers in human tissues is 348 consistent in studies investigating concentrations in serum and urine of participants who 349 had been exposed to GenX compounds in their drinking water [83,85]. However, these 350 studies consistently employed detection limits at the part per billion (µg/L; ppb) range, 351 although PFAS can commonly be detected at the part per trillion (ng/L; ppt) 352 concentrations in sources of drinking water [83]. Although it is believed HFPO-DA is 353 effectively eliminated from human bodies given its lesser bioaccumulation potential 354 compared to other legacy PFAS, HFPO-DA has been shown to be potentially toxic to 355 humans by many toxicity tests, including those with rats, mice, and zebrafish 356 [86,87,88,89,90,91]. Acute and chronic reference doses for human exposure were 357 calculated by the Environmental Protection Agency to be 30 ng/(kg day) for acute 358 exposure, and 3 ng/(kg day) for chronic exposure [92]. This is similar to the calculated reference doses for PFOA, which correspond to 20 ng/(kg·day) for sub-chronic 359 360 exposure [93].

No quantifiable concentrations of 6:2 CI-PFAES have been detected in the blood plasma of humans in Europe or North America (LOD 0.9 pg/mL–0.5 ng/mL) [94,95]. This result was expected since 6:2 CI-PFAES is not officially used in Europe and given the small potential for long-range transport of 6:2 CI-PFAES, as illustrated by *Ti et al.* [30]. However, that is not to say that 6:2 CI-PFAES will not be detected in human samples on these continents in the future, given a limited number of environmental detections in river waters in Europe, and detection in marine mammals from remote locations [50]. Alternatively, 6:2 CI-PFAES has been detected in the blood serum of people from China at concentrations second to that of PFOA and PFOS (LOD 0.02 ng/mL) [77,96].

371 Concentrations of 6:2 CI-PFAES in human blood plasma as great as 0.14 ng/mL 372 have been reported and were greatest in people considered obese [96]. Concentrations 373 detected in serum increased with age, suggesting a high bioaccumulation potential and 374 long-half life in humans [96]. Males also had slightly greater concentrations than did 375 females [96], which supports findings from other PFAS such as PFOA and PFOS [97]. 376 Concentrations of 6:2 CI-PFAES have also been reported as being comparable to those 377 of PFOA, both in maternal blood sera and cord sera in pregnant women from China, as 378 great as 0.6 ng/mL (LOD 0.01 ng/L) [77,78,79]. In addition, multiple studies investigating 379 human exposure in China to 6:2 CI-PFAES have indicated it is bio-accumulative with a 380 potentially longer half-time in humans compared to PFOS and PFOA. The log Kow and 381 predicted bioaccumulation factors (BAF) of 6:2 CI-PFAES were 5.29 and 3.81, 382 respectively, compared to 4.49 and 3.28 for PFOS [98,99]. In humans occupationally 383 exposed to 6:2 CI-PFAES, detected concentrations in blood serum have been reported 384 as great as 5000 ng/mL (LOD = 0.01 ng/L) [77]. These results suggest humans are as 385 susceptible to 6:2 CI-PFAES exposure and accumulation as they are to PFOS, and that 386 6:2 CI-PFAES shows the same potential to cross the blood-brain and -placenta barrier 387 [78,79,98,99].

Suspect screening has identified PFECHS in pooled human blood serum, cord sera, and placental tissue taken from expecting mothers from Europe at concentrations ranging from 21 ng/L to 38 ng/L (LOD = 0.25 ng/mL) [66,95,100]. Conversely to 6:2 Cl-PFAES, PFECHS has not yet been reported in tissues of humans in China, likely because it hasn't until recently been a target of concern, but detection of PFECHS in drinking waters from China and around the globe suggests that it could be identified in

targeted analysis of human blood plasma and sera as well as other tissues[10,59,70,101].

396 5. Aquatic Toxicology of Legacy PFAS

397 Legacy PFAS are often not considered acutely toxic relative to other aquatic 398 contaminants found in the environment [102], and concern surrounding their 399 environmental effects is related to their bioaccumulative ability and long half-lives 400 [1,7,11]. In aquatic organisms, the bioaccumulation potential of legacy substances 401 depends on the species exposed, and can range from a low potential to a very high 402 potential [81]. In regard to PFOA, serum bioconcentration factors (BCFs) ranged from 403 9.4 to 578 when calculated in carp (Cyprinus carpio) and black rockfish (Sebastes 404 schlegeli), respectively [103]. However, the whole body log BCF of PFOA measured 405 across species was only determined to be as high as 1.36, which corresponds to a BCF 406 value of 22 [81]. PFOS is considered to have a BCF as high as 26,000 when whole-407 body concentrations were measured in catfish (*Lctalurus punctatus*) and large-mouth 408 bass (*Micropterus salmoides*) [27]. In a critical review of the calculated bioaccumulation 409 potential of a number of legacy PFAS, whole body log BAF ranged from 1.30-4.86 410 depending on the substance under study [81]. These values correspond to log BAFs 411 ranging from 3.6 to 4.6 [56]. The bioaccumulation potential of legacy PFAS is one of the 412 defining aspects of their chemical class, and allows organisms exposed to low 413 concentrations to accumulate a toxic internal dose [27].

Because a comprehensive review on the adverse effects of PFAS in aquatic environments has already been published [11], this review only briefly describes and summarizes the known effects of PFAS on aquatic receptors, particularly in the domains of the non-targeted and targeted tissue and organ-level effects, and population-level effects. Because toxic potencies of emerging replacement PFAS are largely unknown, the following sections will be used as a foundation for comparing the known effects of legacy PFAS and emerging replacements.

421

422 5.1. Non-organ directed bio-active effects of PFAS exposure

Exposure of fish and other aquatic organisms to PFAS can result in both nonorgan-directed toxicity and target organ toxicity. Non-organ-directed toxicity can be

425 summarized as toxic effects and potencies relating to oxidative stress and the 426 metabolism of xenobiotics and key macromolecules [11,104]. Several previous studies 427 have identified oxidative stress in aquatic organisms following exposure to PFAS. In a 428 study in which cultured hepatocytes of Nile tilapia (Oreochromis niloticus) were exposed 429 to 30 mg/L of PFOS and PFOA, increased activities of superoxide dismutase (SOD), 430 catalase (CAT), and glutathione reductase (GR) were observed, suggesting greater 431 concentrations of reactive oxygen species (ROS) [105]. Similarly, exposure of zebrafish 432 embryos (Danio rerio) to 1 mg/L of PFOS resulted in ROS production and induction of 433 antioxidants [106]. Results of these and other studies have suggested that the 434 production of antioxidants after exposure to PFAS is related to the activation of the 435 mitogen-activated protein kinase (MAPK) pathway [106,107]. For example, studies 436 investigating the effects of exposure of zebrafish larvae or embryos to PFNA or PFOS 437 have found an increased abundance of transcripts coding for kinases and transcription 438 factors involved in the MAPK signaling pathway, such as jun-N-terminal kinases (JNKs), 439 and nuclear respiratory factors (NRF-1 and NRF-2) [106,107,108,109,110].

440 Exposure to PFAS also alters the expression and regulation of genes related to the 441 metabolism of xenobiotics. In fish, PFAS have been shown to up-regulate expressions 442 of various phase I cytochrome P450 enzymes as well as phase II detoxification 443 enzymes and phase III transporter receptors [111,112]. Up-regulation of cytochrome 444 p450 genes, such as CYP3A and CYP2Y3, was observed in male cryptid fish 445 (Gobiocypris rarus) exposed to 30 mg/L of PFOA [113]. Significant induction of CYP3A 446 has also been observed in other fish exposed to PFOA, such as rainbow trout 447 (Oncorhynchus mykiss) [114,115]. In addition, exposure to PFAS can result in activation 448 of the aryl hydrocarbon receptor (AhR), peroxisome proliferated activated receptor 449 (PPAR), and the pregnane X receptor (PXR), which has been demonstrated by an 450 increase in transcription abundance of some genes in a variety of species exposed to 451 PFOS, PFOA, and mixtures containing each [112,116]. Extensively described by Lee et 452 al., these findings suggest that organisms attempt to excrete PFAS by activating the 453 PPAR, PXR, AhR receptors, and by use of biotransformation mechanisms that involve 454 phase I (cytochrome P450), phase II (glutathione), and phase III (ATP-binding cassette) 455 enzymes [11]. Activation of PPAR, AhR, PXR, and other receptors, including the retinoic

acid receptor (RAR), recombinant retinoic X receptor (RRXR), and liver X receptor
(LXR) by PFAS, has also been shown to affect the metabolism of lipids and
carbohydrates in aquatic species [112,117,118,119,120].

459

460 5.2. Target-organ and -system bioactive effects of exposure to PFAS

461 Exposure to PFAS has been associated with endocrine-disrupting effects, including significant regulatory changes in genes connected with serum testosterone, 17β-462 463 estradiol (E2), and production of the egg volk protein, vitellogenin [114,121,123,124]. In 464 the brain, gonads, and liver of zebrafish, significant changes in transcription abundance 465 of genes for the follicle-stimulating hormone receptor, luteinizing hormone receptor, and 466 the steroidogenic acute regulatory protein (FSHR, LHR, and STAR) were observed after 467 exposure to 1 mg/L of PFNA [124]. In fathead minnows (Pimephales promelas), 468 exposure to PFOS resulted in greater concentrations of plasma testosterone [125]. 469 These studies have provided evidence that PFAS can directly bind with receptors along 470 with the hypothalamus-pituitary-gonad-liver (HPGL) axis and estrogen receptors 471 [11], and are supported by observed tissue and organ level effects in affected 472 organisms. A study investigating the exposure of cryptid fish to 30 mg/L of PFOA 473 reported degenerating oocytes [113]. Similar results have also been reported by later 474 studies that observed ovarian follicle cell atrophy, degeneration, and spermatozoa 475 paucity in fish exposed to PFOA and mixtures of PFOS, PFOA, PFNA, and 476 perfluorobutanesulfonic acid (PFBS) [11, 126].

477 Disruption of thyroid function has also been observed in aquatic organisms 478 exposed to PFAS. Exposure to PFDoA has resulted in a number of transcriptional 479 changes, such as the upregulation of genes like thyrotropin-releasing hormone (TRH), 480 corticotropin-releasing hormone (CRH), and iodothyronine deiodinase 2 (DIO2), a gene 481 that codes enzymes important for the activation and de-activation of thyroid hormones 482 in zebrafish [121,127]. Down-regulation of genes such as thyroglobulin (Tg) and thyroid 483 hormone receptor (THR $\beta$ ) has also been observed concurrently with the above gene 484 up-regulation in zebrafish [121]. Similar results were observed in zebrafish exposed to 485 PFOS but also included up-regulation of early development-related genes necessary for 486 the differentiation and formation of thyroid follicles such as homeobox protein (*Hhex*)

and paired box gene 8 (PAX8) [128]. Concurrent observed changes in thyroid structure
and function were also observed in accordance with the above molecular-level changes
[128,129]. Significant changes such as inhibition of growth and decreased
concentrations of thyroid hormone have been observed in zebrafish exposed to either
PFOS or PFDoA [127], and exposure to mixtures including PFOS, PFOA, PFNA, and
PFBS have resulted in thyroid follicle cell degeneration and atrophy of male fish [130].

493 Studies investigating the effects of PFAS have suggested the hat accumulation of 494 lipids in the liver is a primary outcome of PFAS exposure [114,117,119,120]. The 495 previous discussion on molecular and transcriptomic changes in aquatic organisms has 496 suggested that PFAS disrupt lipid metabolism. These findings, along with tissue- and 497 systemic-level analyses, have linked PFAS exposure with lipid metabolism-related 498 hepatoxicity [117]. In zebrafish chronically exposed to 0.5  $\mu$ M (0.3 mg/L) of PFOS, 499 serum cholesterol content measured as the low- and very-low-density lipoprotein 500 (LDL/VLDL) ratio was decreased along with lesser ATP content in blood serum [119]. In 501 contrast, total cholesterol and glycerol contents were greater in larger livers, which 502 suggested an accumulation of lipids in the liver [119]. Hepatocyte viability was also 503 decreased in Nile tilapia exposed to PFOS or PFOA [131], and in zebrafish exposed to 504 PFOA, PFBA, or PFHxA [131]. Accumulation of lipid droplets in the liver, and swelling of 505 hepatocytes, and hepatocellular vacuolar degeneration have also been observed in 506 fishes, such as zebrafish and cryptid fish exposed to PFOS, PFOA, or PFDoA 507 [117,119,120,122]. Steatosis (fatty liver) was observed in zebrafish exposed to 0.3 mg/L of PFOS [119], and research into the molecular responses matched those observed in 508 509 mammals [117]. Lipid accumulation was also observed in adult zebrafish after chronic 510 exposure to 0.3 mg/L PFOS, and observed brittle and pale livers in PFOS-exposed fish 511 compared to the soft and sanguine livers of control fish suggested liver degeneration 512 [122].

513 The main mechanism associated with PFAS-induced hepatoxicity is the ability of 514 PFAS to bind to proteins such as serum albumin [99], fatty acid protein [104], and 515 apolipoprotein A- I [120,132]. While binding to serum albumin is typically observed in 516 mammals, binding into fatty-acid proteins in fish livers and apolipoproteins have the 517 potential to alter liver metabolism as described above, leading to hepatoxicity and

518 associated apical events [99,104,120]. However, apical events related to protein binding 519 of PFAS were substance-dependent, as only some resulted in moderate biochemical 520 and molecular effects at concentrations higher than those found in the environment. In a 521 study that investigated changes in fathead minnow exposed to PFOA, biochemical 522 endpoints such as altered fatty-acid oxidase were observed at concentrations of 1 and 523 30 mg/L [99]. In another study that identified alterations in apolipoprotein genes in rare 524 minnows (G. rarus), only concentrations around 10 mg/L resulted in an altered 525 expression [120]. Therefore, the severity of the effect PFAS have on the liver is 526 dependent on the substance of exposure, and, in the case of substances like PFOA, 527 can be relatively non-toxic at environmentally relevant concentrations [99].

528 Effects of PFAS on the metabolism of lipids, as well as the general amphiphilic 529 nature of PFAS, are also associated with altered cellular membranes 530 [133,134,135,136,137]. Exposure of Atlantic cod (Gadus morhua) to mixtures of PFAS 531 caused the enrichment of poly-unsaturated acyl-chains in phospholipids along with 532 perturbation of lipid metabolism [137]. Acyl-chains confer membrane flexibility, enabling 533 density adjustments that are theorized to be in response to acute membrane 534 deformations potentially caused by PFAS exposure [137]. Previous studies have also 535 demonstrated that exposure to PFOS results in increased membrane permeability and 536 fluidity, and decreased membrane potential [134].

537 Based on the targeted and non-targeted molecular and organ level responses of 538 aquatic organisms, several molecular and cellular biomarkers of toxicity of PFAS have 539 been suggested. These biomarkers include changes in expressions of apolipoprotein 540 (ApoAL, ApoALV) due to its specific role in lipid metabolism, serum lipid content, liver 541 triacylglycerol content, lipid droplet content, and the hepatosomatic index due to the 542 ability of PFAS to influence the accumulation of lipids via changes in synthesis, uptake, 543 and  $\beta$ -oxidation [11]. Changes in expressions of some key nuclear receptors, such as 544 PPAR, THR, LXR, and PXR, could also be used as biomarkers for PFAS exposure. 545 However, they lack specificity across species and experiments [11]. While not specific 546 to PFAS exposure, genes for xenobiotic metabolism and oxidative stress are still 547 consistently affected, and specific genes such as CYP3A1, JNKs, and NRF2 are 548 important to characterize molecular effects of exposure [11,25,138]. At the cellular level,

altered amounts of glutathione, SOD, CAT, and lipid peroxidation (LPO) in the liver canalso be used to characterize and mark PFAS exposure effects [11,25,138].

551

552 5.3. Individual- and population-level responses to PFAS exposure

553 Molecular and mechanical alterations in response to exposure to PFAS can cause 554 abnormalities in growth and development, as well as altered endpoints in reproduction 555 and behavior [6,11]. These can include reductions in fecundity of the parent generation 556 [125], as well as decreases in hatching rates, larvae survival, body length, and 557 developmental abnormalities [128]. Multiple studies have demonstrated similar results, 558 which observed decreases in larval survival and sperm density in male zebrafish 559 exposed to PFOS [139]. The fecundity of Japanese medaka (O. latipes) was 560 significantly decreased with exposure to a mixture of PFOS, PFOA, PFNA, and PFBS 561 [130]. The results of such studies have suggested the potential for population-level 562 effects of PFAS, particularly PFOS, which include a greater ratio of female fish as well 563 as decreases in population numbers [139].

564 However, some studies have reported that certain PFAS do not cause reproductive toxicity in some species of fish. A study investigating zebrafish exposed to PFOA 565 566 showed no significant changes in hatching rates, fecundity, or fertility [121]. Although 567 reductions in fecundity of the parental generation were observed when exposed to 0.3 568 mg/L PFOS, there were no significant changes in hatching rates of eggs or effects on 569 the growth and development of their offspring exposed to up to 0.3 mg/L of PFOS [125]. 570 As well, investigations into aquatic invertebrates often lead to more contrasting results. 571 In a study that investigated the effect of acute and chronic exposure of PFOA and other 572 short-chain substances perfluorobutanoic acid (PFBA), and PFHxA on the mortality and 573 fecundity of Daphnia magnia, PFOA was demonstrated to cause marked decreases in 574 reproductive rates and increases in mortalities, where the calculated effective 575 concentration (EC50) of 239 mg/L was significantly lower compared to that of PFBA and 576 PFHxA which had EC50's of 5251 mg/L and 1048 mg/L respectively [140]. Such 577 differences in the toxicity of PFOA on fecundity across species highlight how PFAS 578 research requires a broad range of studies on different endpoints and species to create 579 a robust understanding of their effects on environmental populations.

580 The growth and development of aquatic organisms could also be affected by PFAS 581 due to underlying mechanisms related to oxidative stress, thyroid disruption, and 582 development-related gene regulation [11,127,128]. In a study by Zhang et al.[127], 583 exposure to 6 mg/L of PFDoA inhibited growth and caused spine deformities in larval 584 zebrafish, likely due to disruption of thyroid function. Along with up-regulation of genes, 585 such as PAX8 and Hhex, zebrafish embryos exposed to 5 mg/L of PFOS were 586 significant morphological abnormalities and developmental characterized by 587 toxicological effects [128]. Underlying mechanisms affecting development might also be 588 linked to neurobehavioral changes associated with PFAS exposure. In zebrafish 589 exposed to PFDoA, a decrease in swimming speed was observed, along with a 590 reduction of acetylcholine content (ACh) [141]. This suggested that ACh enzyme activity 591 could have been inhibited by PFAS, which then resulted in the reduction of ACh [141]. 592 Reduced behavioral activity has also been observed in goldfish exposed to PFOS [142]. This observation is supported by a reduction of aggressive behavior in male zebrafish 593 594 exposed to PFOS and other PFAS [143]. However, some studies have also reported 595 conflicting behavioral results. In zebrafish exposed to PFOS, there was a significant 596 increase in basal swimming rate [139,144], and this hyperactivity has also been found in 597 the offspring of fish exposed to PFOS [143]. While these multi-generational effects are 598 believed to be caused by direct oviparous maternal transfer of PFOS rather than 599 residual chemical exposure, given chemical analysis of maternal vs. paternal body of 600 burden concentrations, the discrepancies in results across published literature highlight 601 the need for future research in this domain to confirm a causal mechanism of transfer 602 and effect [139].

603 The paucity of studies focused on individual- and population-level effects of PFAS 604 exposure is also reflected by the lack of studies that directly link PFAS exposure with 605 standardized fish health indices such as the hepatosomatic index (HSI), gonadosomatic 606 index (GSI), and Fulton's condition factor (FCF). In a single study that investigated the 607 effect of environmental levels of PFAS on morphometric fish health indices, it was 608 determined that FCF was directly affected by PFAS exposure, and the HSI was also 609 directly affected for certain fish species [145]. However, as the study was based on field 610 collection of fish species and causal substance exposure was determined by

611 environmental sampling, the study was unable to identify the main contributions by 612 individual PFAS [145]. Therefore, we recommend standardized laboratory studies on 613 health indices in fish as another direction of future research for PFAS in general.

614

5.4. Gaps in knowledge and future concerns

616 The amount of PFAS used in industrial and commercial processes, and the growing 617 number of substances detected in the environment is an inherent difficulty associated 618 with any research on this chemical class [1,7]. Discrepancies in exposure periods, 619 model organisms, concentrations of exposure, and chemical of study have made it 620 difficult to rank PFAS in terms of toxicity [11]. While PFOS is generally considered the 621 most toxic PFAS, this assumption is only supported by a small amount of toxicity 622 information on other substances in the environment [11,24,25,26,27]. Depending on the 623 endpoint of study, the ranking of substances can change as well. For example, 624 exposure to PFOS but not PFOA at environmentally relevant concentrations resulted in 625 chronic toxicity in Daphnia carinata [146], while dose-dependent increases in lipid-626 peroxidation were observed in tilapia (Oreochromis niloticus) only with exposure to 627 PFOA, not PFOS [105]. Additional studies on population- and individual-level effects of 628 PFAS exposure would aid in highlighting the overall effects and general toxicity of 629 substances, while also highlighting potential biological mechanisms of toxicity to be 630 confirmed with future studies.

631 Large concern also surrounds the mixture toxicity of PFAS chemicals and other 632 micro-pollutants [11]. While PFAS often behave differently in the environment compared 633 to other micro-pollutants [147], evidence suggests exposure to PFAS could impact the 634 toxic potency of other micropollutants in the environment. In a study investigating the 635 combined effect of binary and tertiary mixtures of PFOS with pesticides and/or 636 pharmaceuticals, both antagonistic and synergistic toxic responses were observed 637 [148]. Further, it has been theorized that the immunosuppressive effects of PFAS 638 exposure could make organisms more susceptible to infection and less resilient to 639 environmental stress [11,147]. This has been supported by a study in which exposure to 640 10 µg/L (10 ppb) of PFHxS increased trematode infections in larval northern leopard 641 frogs compared to the negative control [149]. However, exposure to PFOS did not result in a similar increase in susceptibility, highlighting the gaps in knowledge that existsurrounding PFAS chemicals.

644 In summary, molecular-level mechanisms such as oxidative stress, nuclear 645 receptor activation, and membrane interaction of PFAS can result in tissue- and organ-646 level effects that can result in reproductive toxicity, growth and developmental defects, 647 neurobehavior defects, and other disorders. However, more research is not only needed 648 to highlight the general individual- and population-level effects of exposure, but it also 649 elucidate the underlying mechanisms and molecular responses to PFAS leading to such 650 individual- and population-level alterations. 'Crosstalk' between the different systems 651 and diverse molecular pathways could be linked with PFAS-induced toxicity and help 652 explain some of the contrasting results observed at both the molecular and individual 653 levels [11,150]. For instance, it has been theorized that oxidative stress can affect the formation of eggs and the development of larvae, relating it to reproductive toxicity 654 655 [11,130], and PFAS affect the production and regulation of lipids, which can be 656 precursors for sex hormones [11,119,124]. While such systematic interactions could 657 help clarify the adverse effects related to PFAS exposure, the field of PFAS-induced toxicity also suffers from unidentified fluorinated chemicals, lack of toxicity information, a 658 659 deficit of studies using non-teleost models, and a disconnect between available results 660 and environmentally relevant chemical concentrations and scenarios [25,29].

661 Therefore, we suggest future studies of PFAS should focus on population- and 662 individual-level effects in order to better support a general understanding of PFAS 663 toxicity in the aquatic environment, and specific focus should be placed on determining exposure effects on standardized health indices to allow for better comparison across 664 665 species. As well, more mixture studies are required to elucidate the effect of PFAS in an 666 environmentally relevant scenario, as well as highlight mechanisms of their toxicity. 667 Finally, investigations using new techniques such as high-throughput omics could also 668 offer further insights into the environmental effects of PFAS exposure.

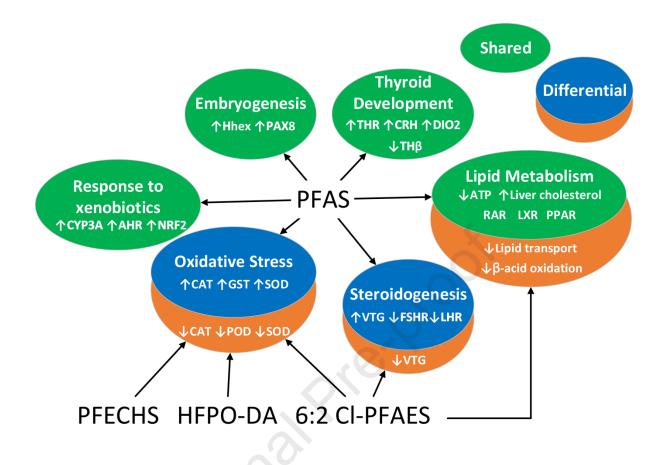
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671 6. Aquatic Toxicology of Novel, Emerging PFAS of Concern

672 While extensive research on the environmental effects of PFOS and PFOA has 673 occurred, critical scientific and policy needs remain. The large number of PFAS on the 674 global market ensures that most of them remain un- or under-assessed and un- or 675 under-regulated, with extensive data gaps in the public domain [25]. This has led to 676 concerns that PFAS research might never converge due to: (1) a lack of information on 677 mixture effects, total chemical burden, and mechanisms of action of both the numerous 678 known and unknown chemicals, (2) current technology that might not be sufficient for 679 detecting decreasing concentrations in the environment, and (3) the constant production 680 of alternative substances that are being created and released into the environment 681 [10,151]. However, recent progress has been made in each, particularly in the areas of 682 grouping PFAS chemicals and prioritizing future research needs [22].

683 As knowledge of properties and the ability to define and group PFAS increases, it has become more likely that due to pressure from the scientific and stakeholder 684 685 communities, governmental and industrial organizations will continue to employ blanket 686 bans on legacy PFAS such as PFOS and PFOA [23,28]. Blanket bans, however, will not remove the PFAS that already exist in the environment, nor will they stop new and 687 688 related PFAS chemicals from being produced and emerging as aquatic contaminants. 689 Therefore, the following sections will outline the known toxicological information of the 690 chosen replacement PFAS: HFPO-DA, 6:2 CI-PFAES, and PFECHS and summarize 691 the information in comparison to that known of legacy PFAS (Figure 2).

692



694

695

696 Figure 2: Summary of the most common shared and differential molecular effects

697 between legacy perfluoroalkyl substances (blue) and novel replacement perfluoroalkyl

substances (orange). The arrows point to the effects associated with the highlightedcompounds.

701 6.1. Hexafluoropropylene Oxide Dimer (HFPO-DA)

702 Most toxicological research on the GenX class exists for HFPO-DA, the final 703 product detected in aquatic environments [168,169]. As a shorter chained PFAS (≤6 704 carbons), HFPO-DA has been marketed as a safer alternative to other PFAS used 705 historically and has been incorporated by many industries in recent years [168,170]. 706 However, detection of HFPO-DA in surface waters and other environments indicated 707 concern for its safety, and subsequent toxicological studies indicated that HFPO-DA 708 was potentially as toxic, if not more, as the previous legacy PFAS it was meant to 709 replace [171,172,173]. Significant concern arose surrounding human health implications 710 after HFPO-DA was shown to be carcinogenic and toxic in rats and mammals [171,174]. 711 However, relatively little is known about its impact in the aquatic environment and on 712 aquatic organisms [11].

713 Most studies of HFPO-DA have focused on reproductive, development, growth, and 714 mortality endpoints after aqueous and dietary exposure to HFPO-DA in zebrafish, 715 rainbow trout, common carp, algae, and D. magna [18]. In a 12-day study involving 716 exposure of HFPO-DA to the algae C. pyrenoidosa, growth was inhibited after 6 days, 717 and RNA-seq analysis showed that genes related to photosynthesis were down-718 regulated in response to HFPO-DA at concentrations of 100 ng/L and 100 µg/L [175]. 719 Differentially expressed genes were related to photosystem I and photosystem II 720 proteins necessary for the photosynthetic pathways [175]. Similar studies have also 721 shown that HFPO-DA inhibited the antioxidant capacity of algae and increased 722 production of the reactive oxygen species indicated by a reduction in cellular chlorophyll 723 contents at concentrations higher than 25 mg/L, as well as differential transcription of 724 genes related to the oxidative stress pathway and photosynthesis, such as CAT, SOD 725 and GST [176]. These molecular-level impacts can translate to cell-level effects in 726 Chlorella sp. such as a reduction in cellular growth at environmentally relevant 727 exposure concentrations of 10, 100, and 1000 ng/L [152].

In vertebrate species, the acute lethal concentration of 50% (LC<sub>50</sub>) of HFPO-DA has been quantified to be >96.9 mg/L in adult rainbow trout [177], and similar results have been observed in medaka exposed to HFPO-DA which have a recorded LC<sub>50</sub> greater than 100 mg/L [177]. Rare gudgeon (*G. rarus*) have been shown to be less

732 sensitive to HFPO-DA with a recorded  $LC_{50}$  greater than 150 mg/L [177]. These acute 733 toxicity values are significantly more potent compared to those recorded for PFOA. In 734 multiple studies investigating the acute lethality of PFOA to early-life-stage fish, the 735 recorded LC<sub>50</sub> values were 430 and 730 mg/L for early-life stage zebrafish and rainbow 736 trout, respectively [178,179]. However, PFOA is better known for causing sub-lethal 737 chronic effects associated with exposure [11,104,143]. As there are little to no published 738 studies on long-term exposure of HFPO-DA at sublethal concentrations, it is not 739 possible to make a reliable statement comparing the overall toxic potency of HFPO-DA 740 to legacy PFAS, although it appears to be more toxically potent at acute levels of 741 exposure.

742 In fish, HFPO-DA homologs of trimer and tetramer acids have also been shown to 743 exhibit a binding affinity to ligand-binding domains of estrogen receptors (ER), with the 744 lowest observable effect concentration (LOEC) for binding being 25 µM (~0.08 µg/L) 745 and 12.5  $\mu$ M (~0.04  $\mu$ g/L) respectively [180]. While HFPO-DA did not show an ability to 746 bind to estrogen receptors, it was shown to affect the expression of fatty-acid binding 747 proteins at concentrations higher than 50 µM [181]. All homologs were concluded to 748 have the potential to alter the sex-hormone balance and enhance the vitellogenin levels 749 [91,180,182]. In a singular bioaccumulation test in common carp, the whole-body 750 bioconcentration factors over a 28-day test exposure period were determined to be <30 751 [177]. Compared to the calculated whole-body BCF of PFOA which was measured to be 752 200 in carp as well, HFPO-DA has a lesser bioaccumulation potential [81].

753 While the toxic potency of HFPO-DA compared to legacy PFAS depends on the 754 duration of exposure, species, and endpoints tested, the mechanisms of toxicity appear 755 to be similar. Exposure of longer-chain, legacy PFAS to algae is known to result in 756 down-regulation of SOD and CAT activity in antioxidant systems [118,150,163]. While 757 this was also observed in exposure to HFPO-DA, further effects included the overall 758 downregulation of the algae's total antioxidant capacity (T-AOC) [175,176]. Further, 759 certain homologues of HFPO-DA have a higher binding affinity to estrogen receptors 760 compared to PFOA where the LOEC is 50 µM (~1.6 µg/L) [180]. While HFPO-DA 761 specifically was not observed to bind to estrogen receptors, it was shown to impact the 762 expression of fatty-acid binding protein [181]. Fatty-acid binding proteins are required

763 for the transport of hydrophobic ligands into cells before fatty acid oxidation is able to 764 take place [183]. As described previously, legacy PFAS impact fatty acid oxidation 765 which can ultimately lead to observed hepatoxic effects [102,104,118] 766 [82,102,104,118,150,163].

767

6.2. 6:2 Chlorinated Polyfluoroalkyl Ether Sulfonate (6:2 CI-PFAES)

769 Initially, 6:2 CI-PFAES was marketed by manufacturers as less persistent, less bio-770 accumulative, and less toxic compared to other, greater molecular mass PFAS like 771 PFOS [153]. However, recent evidence suggests that these proclamations are not 772 necessarily true, and 6:2 CI-PFAES likely poses a significant risk to the health of the 773 aquatic environment [74,77]. Evidence surrounding bioaccumulation of 6:2 CI-PFAES 774 as well as long-range transport has increased in recent years [71,154]. 6:2 CI-PFAES 775 has been shown to be bioaccumulative in several species, including algae and fish. It 776 was reported that whole-body log BAF in Crucian carp (Carassius carassius) exceeded 777 the regulatory bioaccumulation criterion with log BAF values between 4.1 and 4.3 778 [155,156], ranking the bioaccumulation potential of 6:2 CI-PFAES above that of PFOS 779 [56]. 6:2 CI-PFAES has been detected in the livers of ringed seals, polar bears, and 780 killer whales, mirroring the detection of PFOS in marine and arctic mammals [49,157]. 781 Although detected at concentrations approximately four-fold less than PFOS, the 782 detection of 6:2 CI-PFAES in keystone species as well as the observed bioaccumulation 783 and maternal transfer in model fish species greatly increases its potential risk for the 784 health of humans and wildlife [154,156,158,159,159].

785 In the freshwater algal species Scenedesmus obliquus (S. obliquus), exposure to 786 6:2 CI-PFAES resulted in many toxic effects associated with exposure to PFOS [160]. 787 Exposure to environmentally relevant concentrations caused an oxidative stress 788 response, increased cell membrane permeability and mitochondrial membrane 789 potential, as well as direct growth toxicity at concentrations similar to or even less than 790 the no-effect level of PFOS [160]. Specifically, exposure to 50 mg/L of 6:2 CI-PFAES 791 doubled the permeability of the cellular membrane of algae, while previously reported 792 exposure to 30 mg/L of PFOS had the same effect [135]. 6:2 CI-PFAES was also 793 observed to be more potent at reducing growth in S. obliguus compared to PFOS, with

794 a reported 50% inhibition concentration (IC50) of 40.3 mg/L 6:2 CI-PFAES compared to 795 an IC50 of 112 mg/L PFOS [160,135]. These results have also been observed in other 796 algae species such as Chlorella sp., which demonstrated reduced growth at 797 environmentally relevant concentrations of 6:2 CI-PFAES, increased SOD and 798 glutathione activity, and decreased activities of CAT and POD [152,154]. In zebrafish, 799 exposure to 6:2 CI-PFAES has also been shown to have multi-generational effects. 800 Exposure of the parent generation to 6:2 CI-PFAES has been shown to impair the 801 embryonic development of offspring by induction of oxidative stress [158], disrupt the 802 expression of HPG-axis genes in both generation one and two offspring, and affect 803 concentrations of thyroid hormone in generation one offspring [159].

804 Furthermore, in zebrafish, chronic exposure to 6:2 CI-PFAES at environmentally 805 relevant concentrations resulted in the compound accumulating in the liver, gonads, and 806 embryos [159,160], similar to the accumulation of other PFAS [119,133]. Greater mean 807 concentrations of 6:2 CI-PFAES were found in the livers of male fish (111.4 to 67.5 808 ng/mg), while greater concentrations were found in the gonads of females [161]. This 809 sex-dependent accumulation has also been observed after exposure to other PFAS 810 samples [122,162,163,164]. Consequently, 6:2 CI-PFAES has been associated with a 811 greater incidence of liver injury, including hepatomegaly and changes in the pathological 812 structure of the tissue [159,165]. This relates to effects on the liver due to exposure to 813 other long-chain PFAS have on fish, including hepatocellular hypertrophy, cytoplasmic 814 vacuolation, necrosis, and apoptosis [166,119]. 6:2 CI-PFAES has also been shown to 815 interfere with the PPAR signal pathway in adult zebrafish [158], indicated by down-816 regulation of genes related to fatty acid  $\beta$ -oxidation (*acox1, cpt2, cpt1a*), lipid transport 817 (LPL, CD36), and cholesterol metabolism (CYP27A, Nrlh3) [158], similar to responses 818 observed after exposure to PFOS and PFOA [112,114,167]. Oxidative stress 819 biomarkers such as SOD, CAT, and GSH were also affected by exposure [152]. The 820 observed decrease in SOD and CAT and increase in GSH have been observed in 821 response to long-chain compounds PFOS and PFOA [114,117,119,120].

822

823 6.3. Perfluoroethylcyclohexane Sulphonate (PFECHS)

824 Little data is available to characterize the toxic potencies of PFECHS to humans or 825 wildlife, as only two studies exist that characterize its biological effects and toxicities to 826 aquatic organisms [60,152]. The first study investigated the acute and chronic toxic 827 potency of PFECHS to D. magna, and the second investigated the effect of PFECHS on 828 the growth and proliferation of Chlorella sp. [60,152]. The studies resulted in 829 significantly less growth and inhibited catalase activity, increased SOD and peroxidase 830 activities, and down-regulation of vitellogenin-related genes [60,152]. These results 831 suggest that exposure to PFECHS could result in oxidative stress and endocrine 832 disruption.

In other studies investigating the compartmentalization of PFECHS in field samples, PFECHS has been observed to bioaccumulate in kidney, liver, blood, muscle, and plasma of fish [56,60]. The log BAF of PFECHS has been estimated to be 2.7 [56] and 2.8 [55], ranking below PFOS, which has log BAFs ranging from 3.6 to 4.6 depending on whether it is branched or linear [56]. However, the liver/blood partitioning ratio of PFECHS in fish is estimated to be significantly greater than that of PFOS, and PFECHS and PFOS likely share similar mechanisms of uptake and distribution [56].

840 The LC<sub>50</sub> of PFECHS was estimated to be 186.61 mg/L when exposed to *D.magna* 841 for 48 hours [60]. This high  $LC_{50}$  is supported by a following study where it was 842 determined that PFECHS did not have an effect on Chlorella sp. growth rates at 843 concentrations below 1000 ng/L, much higher than its environmental concentrations 844 [152]. Both studies suggested that PFECHS has a lower toxic potency than PFOS, 845 which has calculated EC50 values typically less than 150 mg/L for growth endpoints in 846 various invertebrate species [27]. However, as discussed throughout this review, the 847 toxicity of legacy PFAS can differ significantly between species of exposure [27,60,152]. 848 The toxic potency of PFAS can be significantly higher in fish species compared to 849 invertebrates, particularly at sensitive times of development, as exemplified by Shi et al., 850 in which the approximate 96-hour LC<sub>50</sub> for zebrafish embryos was calculated to be less 851 than 1 mg/L [128]. Therefore, it is difficult to accurately compare PFECHS to legacy 852 PFAS until more toxicity information is available. While the limited information on 853 molecular-level effects suggests PFECHS could impact endocrine functions and induce

oxidative stress similar to legacy PFAS, whether or not exposure will result in similar
 cell-, organ-, and individual-level impacts remains unanswered [72].

856

857 6.4. Gaps in Knowledge Compared to Legacy PFAS

858 Knowledge of these three novel, emerging PFAS in the environment is limited in 859 the same ways that knowledge of legacy PFAS is limited. There exists little to no 860 studies on individual- and population-level effects, while some investigating molecular-861 level alterations are available [56,60,152,158,175,176], cell- and tissue-level effects are 862 also limited [181,159,165]. Without a more robust understanding of the toxic effects of 863 exposure, it is not only difficult to understand the true impact of these chemicals in the 864 environment, but also the true mechanisms of action associated with their exposure. 865 However, apart from the limitations that apply to PFAS in general, the emerging 866 chemicals also face specific limitations.

867 While the results surrounding the toxicity of HFPO-DA appear to be related to the 868 toxic mechanisms of other PFAS, studies in fish are limited to a few species, partial-life 869 stage tests, or early-life stages [18]. No studies were published at the time of this review 870 that investigated long-term, chronic effects of HFPO-DA and its related compounds at 871 sub-lethal concentrations in aquatic organisms. As well, there is relatively little information on the extent of HFPO-DA in the environment. While it is considered highly 872 873 likely that HFPO-DA is able to follow similar long-range transport as legacy PFAS 874 [31,32,46,48,49], this has yet to be confirmed by environmental sampling from remote 875 environments.

Further, while more papers exist outlining the toxicity of 6:2 CI-PFAES in the aquatic environment compared to PFECHS, further investigations are required to clarify the bioaccumulation, environmental fate, and ecotoxicity of this compound in laboratory settings [77]. Environmental variation between matrices and concentrations along with local contamination increasing exposure estimates could introduce biases, affecting results [77].

Finally, PFECHS is inherently limited by the number of studies on its toxicity with two studies investigated its molecular impacts on field-obtained fish and growth endpoints in invertebrates [56,152]. Considering that some physicochemical properties

885 are shared between PFECHS and PFOS, studies investigating the effects of PFECHS 886 on more aquatic organisms are required to obtain a more robust picture of its impact in 887 the environment. Particularly, studies investigating cell- and individual-based effects 888 could give a better overall picture of apical effects of exposure. Given the detection of 889 PFECHS in multiple environmental media around the globe, such information could also 890 help overcome some of the limitations inherent in PFECHS detection. For example, 891 methods for identification and quantification of PFAS within drinking water sponsored by 892 the US Environmental Protection Agency (USEPA) do not include PFECHS as an 893 analyte [184,185].

894 A major limitation that applies to all novel replacement chemicals is the lack of 895 native standards [57,77,78]. Many replacements are not well characterized 896 physicochemically or isometrically, and impurities associated with the production 897 process of these PFAS can make isolating them difficult [57]. Not only does this limit the 898 ability to track these substances and their isomers in the environment, but it also limits 899 the ability to determine exposure concentrations, compartmentalization, and 900 accumulation of the PFAS [57]. Overall, future directives of studies on novel 901 replacement PFAS in the environment should focus on generally identifying cell- to 902 population-level effects, while also following lines of inquiry important for legacy PFAS 903 in general such as mixture effects [11]. However, it is particularly important for future 904 studies to investigate the environmental fate and transport processes of the novel 905 PFAS, particularly for chemicals like HFPO-DA in which in situ results support the 906 potential for long-range transport but there is no field evidence identifying its presence 907 in remote locations [31,32,46,49]. Clarifying transport potential, as well as whether 908 global environmental concentrations are conflated by local contamination, is an 909 important research directive for these emerging replacement PFAS.

910

## 911 7. Characterizations of Risk

912 Currently, regulations pertaining to the registration of new chemicals in the 913 European Union under REACH, the United States EPA, and with the Government of 914 Canada require substances to be reported based on the total amount of chemicals 915 produced or utilized per year [186], and the manufacturer or industry in which they are

916 being sent to or used by Government Notices [20]. However, chemicals released or 917 used in small amounts, such as less than 1 t, annually, as is the case for multiple PFAS 918 compounds, are exempt from registration, even if they are associated with adverse 919 environmental and health effects [186]. Therefore, the existence of a toxic chemical 920 registry is not always a prerequisite to indicating the toxic potential of an emerging 921 substance. For this reason, to score the toxicity of the replacement PFAS discussed in 922 this review, the Chemical Scoring and Ranking Assessment Model (SCRAM) was 923 utilized [187]. While multiple other chemical scoring and ranking systems are available 924 for use, such as quantitative structure-activity relationships (QSAR) models [188], we 925 chose to use SCRAM as it had previously been utilized to rank chemicals similar to 926 PFAS [187], and offered a robust uncertainty ranking system which is important for 927 chemicals that lack available toxicity information, as is the case with many PFAS [29].

928 SCRAM was developed as a tool to standardize the ranking of chemicals of 929 concern among countries and regulatory bodies in which consensus of relevant 930 definitions, guidelines, and toxicity profiles is often disparate [187]. The model is 931 designed to give relative scores and also score uncertainty due to missing or uncertain 932 information on a particular substance. SCRAM includes values for parameters 933 (Supplementaty Table S1), including bioaccumulation, persistence, and toxicity across 934 receptors, eventually outputting a final composite score, in which a higher score is 935 associated with a more potentially environmentally relevant compound [187]. For each 936 parameter, the max score achievable is 5, and the uncertainty score can be as high as 5 depending on whether no data is available or predicted data is used [187]. The final 937 938 chemical, uncertainty, and composite scores are calculated as weighted percents of 939 their associated bioaccumulation, persistence, and toxicity components as described in 940 Part IV of Snyder et al. [187]. Therefore, the lowest potential composite score is 1, 941 which means at least one parameter must be completed for the model to function [187]. 942 For the purposes of this review, HFPO-DA, 6:2 CI-PFAES, and PFECHS were ranked 943 according to SCRAM and related to PFOS and PFOA to quantify their relative 944 significance in the human and environmental sectors.

945

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946 The scoring of the SCRAM model ranks each chemical with an overall, composite 947 score that can be used to rank chemicals according to their effect or potential effect in 948 the environment [187]. The composite score increases if the chemical and uncertainty 949 score increase, but chemicals with high uncertainty scores may lead to high composite 950 scores even if the associated chemical score is low. Therefore, this review ranks the 951 chemicals by both their chemical and uncertainty score to avoid potential conflation 952 between which chemicals are most potentially toxic (indicated by a high chemical score) 953 and which chemicals are the best candidates for future research (indicated by a high 954 uncertainty score).

955 According to the chemical score of each PFAS tested, the ranking from greatest to 956 least potentially toxic was as follows: PFOS > 6:2 CI-PFAES > PFOA > HFPO-DA > 957 PFECHS (Table S1). While it was not surprising that PFOS remained the most 958 potentially toxic PFAS given the amount of literature on its effects of exposure, what 959 was concerning was the ranking of 6:2 CI-PFAES above PFOA, indicating its potential 960 to be more acutely toxic. However, this ranking could be affected if more sub-lethal 961 chronic 6:2 CI-PFAES exposure studies are released, as there is still a small amount of 962 information on chronic aquatic toxicity of 6:2 CI-PFAES. As well, while HFPO-DA was 963 ranked below that of PFOA for potential toxicity, the SCRAM model only took into 964 consideration its chronic toxicity scores based on its environmental persistence (Table 965 S2). Based on acute toxicity, HFPO-DA is considered to be potentially more toxic than 966 PFOA in certain exposure scenarios [178,179].

967 When ranked by uncertainty scores, the order for which chemical is a candidate for 968 future research on its toxicity from the highest necessity to the lowest is as follows: 969 PFECHS > HFPO-DA > 6:2 CI-PFAES > PFOS > PFOA (Table S1). This ranking simply 970 illustrates which chemicals have the least associated amount of toxicity and 971 environmental fate data, of which PFECHS has the lowest. HFPO-DA and 6:2 CI-972 PFAES have a similar uncertainty score (13 vs. 12), illustrating all three emergent 973 compounds in this review remain largely uncertain relative to PFOS and PFOA as 974 expected. Based on the results of SCRAM, future studies should focus on evaluating the impact of PFECHS, HFPO-DA, and 6:2 CI-PFAES in the environment to accurately 975

976 compare them to legacy chemicals like PFOA and PFOS, and better inform whether977 replacement PFAS are a viable pathway for future PFAS management strategies.

### 978 8. Conclusions

979 Several PFAS chemicals have been removed from the general market in multiple 980 countries or by various industries, and regulations will likely continue to expand to cover 981 more substances and become more encompassing [22,28]. Apart from the significant 982 threat these substances continue to pose to aquatic environments due to their 983 persistence, concern also surrounds the development of replacement compounds, 984 which have also started to appear in various environmental matrices [77]. Preliminary 985 results of a relatively small number of aquatic toxicity studies have suggested that some 986 of the most popular replacements: PFECHS, 6:2 CI-PFAES, and HFPO-DA, as 987 highlighted in this assessment, potentially pose significant risks to the environment, 988 similar to the legacy substances that they have been developed to replace. The 989 available literature indicates these replacement compounds affect aquatic organisms by 990 causing oxidative stress and dysregulation of genes related to fatty acid  $\beta$ -oxidation and 991 cholesterol metabolism, similar as seen to the effect mechanism of PFOS and PFOA 992 [11].

993 However, the paucity of toxicity studies on replacement compounds means that 994 there is no robust set of data upon which to base assessments, including information on 995 targeted molecular effects after exposure and a limited number of multi-generational 996 and full-life cycle studies. As well, the lack of reliable detection methods and uncertainty 997 in their environmental spread could impact the understanding of how diverse these 998 chemicals are. The SCRAM model was effective at quantitatively ranking the hazards 999 posed by the three chemicals as well as describing and quantifying uncertainties 1000 associated with the ranking so that data gaps could be identified for each compound. 1001 Overall, these knowledge gaps in replacement PFAS largely parallel the gaps relating to 1002 the aquatic toxicity of PFAS in general. However, given the probability these 1003 compounds will emerge in the environment as the contaminants of the future as they 1004 replace legacy substances in industrial production, increased focus and scrutiny should 1005 be placed on emerging PFAS alternatives, and robust toxicity profiles completed by 1006 multiple independent agencies should be determined before global scale marketing.

39

1007 Declaration of Competing Interest

1008

1009 The authors declare that they have no known competing financial interests or 1010 personal relationships that could have appeared to influence the work reported in this 1011 review.

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1013

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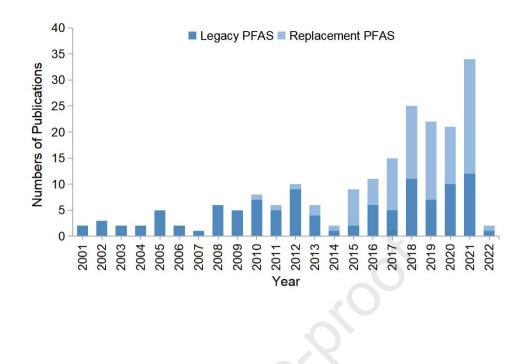
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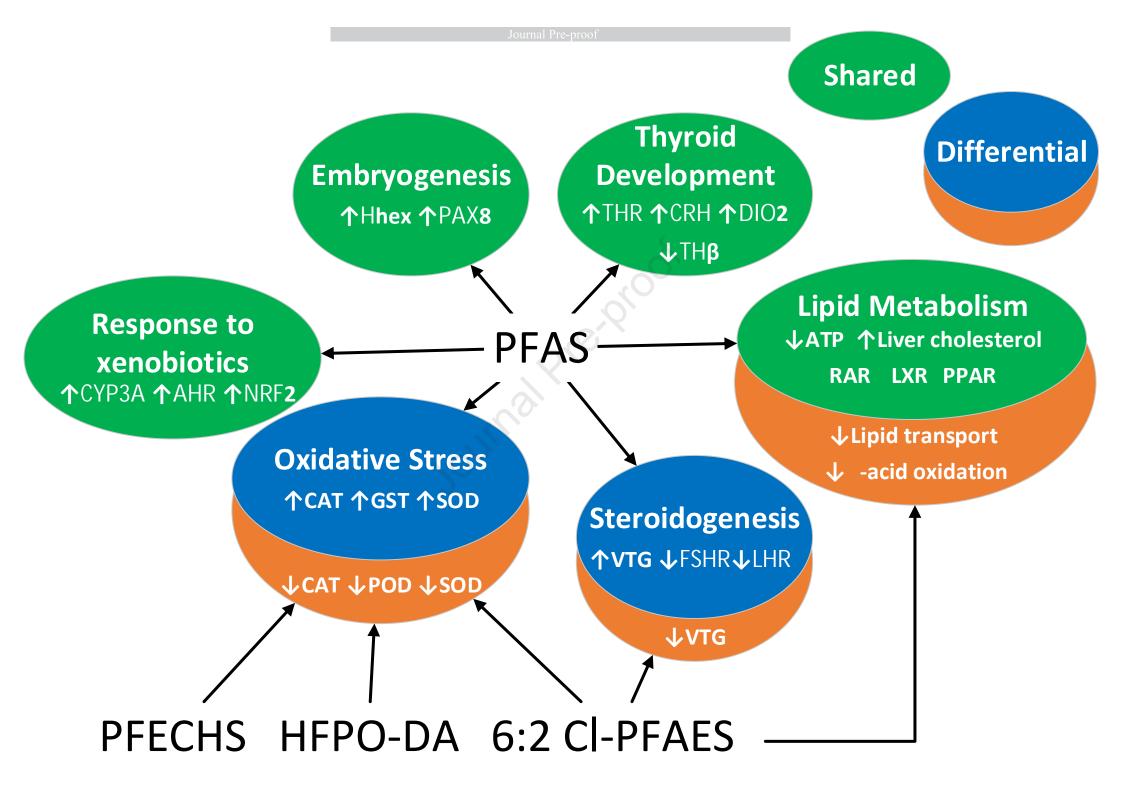
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# **Declaration of Competing Interest**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this review.